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UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. MBHB00,884-H 500.001)

PATENT

#9/K.T.
3/11
Suppl.
I.D.S

IN THE APPLICATION OF:

Breaker et al.

Serial No. 09/780,929

Filed: February 8, 2001

Title Nucleic Acid Catalysts With
Endonuclease Activity

Examiner: To be Assigned

Group Art Unit: 1645

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

RECEIVED

Commissioner for Patents
Washington, D.C. 20231

MAR - 5 2002

Dear Sir:

TECH CENTER 1600/2900

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. The cited international search report was issued in the corresponding PCT application. All references cited within the international search report are of record in this application. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

In the judgement of the undersigned, portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any

other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. §§102 or 103.

CITED REFERENCES

Foreign Patent Documents

Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
1	WO 99/16871	04/08/99	PCT International			
2	WO 99/54459	10/28/99	PCT International			

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Ref. Des.	Citation
3	International Search Report from PCT/US01/04223
4	Breaker et al., "In vitro Selection of Catalytic Polynucleotides," <u>Chemical Reviews</u> 97:371-390 (1997)
5	Breaker et al., "In vitro Selection of Self-Cleaving Ribozymes and Deoxyribozymes," <u>Horizon Scientific Press</u> 1-19 (1999)

Respectfully submitted,

McDonnell Boehnen Hulbert & Berghoff

Date: February 15, 2002

By:



Patrick G. Gattari
Registration No. 39,682



Form PTO-1449 (modified)		Atty. Docket No. 00,884-H (RPI No. 500.001)	Serial No. 09/780,929
List of Patents and Publications for Applicant's SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT		Applicant Breaker, et al.	
		Filing Date: February 8, 2001	Group: 1645
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>	

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EXAMINER:	DATE CONSIDERED:
EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

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Sir:

TRANSMITTAL LETTER

TECH CENTER 1600/2900

In regard to the above identified application:

1. We are transmitting herewith the attached:

- a. Supplemental Information Disclosure Statement ;
- b. Form PTO-1449;
- c. Copy of Supplemental IDS Citations for S/N 09/780,929 (2 Foreign Patents, and 3 references);
- d. Return Receipt Postcard.

2. With respect to additional fees:


☒ No additional fee is required.

3. GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayment to Deposit Account No.13-2490. A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on February 15, 2002.

Date: February 15, 2002

By:


Patrick G. Gattari
Reg. No. 39,682

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